

Methodology of CSI All Share Industry Indices

To measure the performance of industries of CSI All Share Index and to provide analysis tools for investors, CSI developed the CSI All Share Industry Indices by dividing the universe of CSI All Share Index into different sectors, industry groups, industries and sub-industries according to CSI Industry Classification Standard.

1. Index Name and Index Code

Please refer to the Attachment.

2. Base Date and Base Value

Please refer to the Attachment.

3. Index Eligibility

3.1 Index Universe

Same as the Universe of CSI All Share Index

3.2 Constituents Selection

(1) Classify the universe of the CSI All Share Index into different sectors, industry groups, industries and sub-industries according to the CSI Classification Standard.

(2) For industries that consist of less than or equal to 50 securities, all the securities enter into the industry index.

(3) For industries that consist of more than 50 securities: Rank the securities in each industry by average daily trading value and average daily total market capitalization respectively in descending order; Delete the securities at the bottom 10% by trading value and securities at the bottom 2% by cumulative market cap, and if the number of securities decreases to 50, the deletion process will be terminated; The remaining securities enter into the industry index.

4. Index Calculations

The indices are calculated according to the following formula:

$$\text{Current Index} = \text{Current Total Adjusted Market-Cap} / \text{Divisor} \times \text{Base Value}$$

$$\text{Where Current Total Adjusted Market-Cap} = \sum (\text{Security Price} \times \text{Number of Free Float Adjusted Shares} \times \text{Weight Factor})$$

For the calculation of number of free float adjusted shares, please refer to Index Calculation and Maintenance Methodology for further details. Please refer to the Attachment for the maximum weight of each industry.

5. Constituents and Index Weights Adjustment

5.1 Constituent's Periodical Review

The indices are adjusted and rebalanced semi-annually and the adjustment will be effective as of the next trading day after the 2nd Friday in June and December.

Weight Factor is assigned to each constituent at each rebalancing date. The effective date is the same as that of the constituent adjustment. The Weight Factor stays the same until next rebalancing date.

5.2 Ongoing Review

The constituents of CSI All Share Industry Indices are adjusted in accordance with the constituent adjustments of the CSI All Share Index. In case constituents industry classification change due to major corporate actions, necessary adjustments are made to constituents of the index. Delisted securities will be deleted from the constituents. Please refer to Index Calculation and Maintenance Methodology for further details.

Attachment: Index name, Index Code, Base Date, Base Value and Maximum Weight of CSI All Share Industry Indices

Sector Index Name	Shortened Name	Index Code	Base Date	Base Value	Maximum Weight
CSI All Share Energy Sector Index	All Share Energy Sector	932077	2004-12-31	1000	10%
CSI All Share Materials Sector Index	All Share Materials Sector	932078	2004-12-31	1000	10%
CSI All Share Industrials Sector Index	All Share Industrials Sector	932079	2004-12-31	1000	10%
CSI All Share Consumer Discretionary Sector Index	All Share Consumer Discretionary Sector	932080	2004-12-31	1000	10%
CSI All Share Consumer Staples Sector Index	All Share Consumer Staples Sector	932081	2004-12-31	1000	10%
CSI All Share Health Care Sector Index	All Share Health Care Sector	932082	2004-12-31	1000	10%
CSI All Share Financials Sector Index	All Share Financials Sector	932083	2004-12-31	1000	10%
CSI All Share Real Estate Sector Index	Real Estate	931775	2004-12-31	1000	10%
CSI All Share Information Technology Sector Index	All Share Information Technology Sector	932084	2004-12-31	1000	10%
CSI All Share Communication Services Sector Index	All Share Communication Services Sector	932085	2004-12-31	1000	10%
CSI All Share Utilities Sector Index	All Share Utilities Sector	932086	2004-12-31	1000	10%

Industry Group Index Name	Shortened Name	Index Code	Base Date	Base Value	Maximum Weight
CSI All Share Banks Index	All Share Banks	399986	2004-12-31	1000	15%
CSI All Share Agriculture, Animal Husbandry and Fishery Products Index	All Share Agri, Husba & Fishery	930910	2004-12-31	1000	15%
CSI All Share Passenger Vehicles & Auto Parts Index	All Share Passenger Vehicles	H30164	2004-12-31	1000	10%
CSI All Share Machinery Index	All Share Machinery	H30166	2004-12-31	1000	10%
CSI All Share Commercial	All Share	H30170	2004-12-31	1000	10%

Services & Supplies Index	Commercial Services				
CSI All Share Transportation Index	All Share Transportation	H30171	2004-12-31	1000	10%
CSI All Share Consumer Services Index	All Share Consumer Services	H30173	2004-12-31	1000	15%
CSI All Share Media Index	All Share Media	H30174	2004-12-31	1000	10%
CSI All Share Retailing Index	All Share Retailing	H30175	2004-12-31	1000	10%
CSI All Share Food, Beverage & Tobacco Index	All Share Food	H30177	2004-12-31	1000	10%
CSI All Share Pharmaceuticals Index	All Share Pharmaceuticals	H30179	2004-12-31	1000	10%
CSI All Share Computers Index	All Share Computers	H30182	2004-12-31	1000	10%
CSI All Share Electronics Index	All Share Electronics	H30183	2004-12-31	1000	10%
CSI All Share Insurance Index	All Share Insurance	H30186	2007-06-30	1000	--
CSI All Share Chemicals Index	All Share Chemicals	H30187	2004-12-31	1000	10%
CSI All Share Household Durables Index	All Share Household Durables	H30196	2004-12-31	1000	10%
CSI All Share Capital Markets Index	All Share Capital Markets	H30211	2007-06-30	1000	10%
CSI All Share Aerospace & Defense Index	All Share Aerospace & Defense	932143	2021-12-31	1000	10%
CSI All Share Building & Decoration Index	All Share Building & Decoration	931931	2021-12-31	1000	10%
CSI All Share Electric Power Devices Index	All Share Electric Power Devices	931932	2021-12-31	1000	10%
CSI All Share Environmental Protection Index	All Share Environmental Protection	931933	2021-12-31	1000	10%
CSI All Share Non-metal Mining & Products Index	All Share Non-metal Mining & Products	931934	2021-12-31	1000	10%
CSI All Share Steel Index	All Share Steel	931935	2021-12-31	1000	15%
CSI All Share Non-ferrous Metal Index	All Share Non-ferrous Metal	931936	2021-12-31	1000	10%
CSI All Share Paper & Packaging Index	All Share Paper & Packaging	931937	2021-12-31	1000	10%
CSI All Share Textiles, Apparel & Jewellery Index	All Share Textiles, Apparel & Jewellery	931938	2021-12-31	1000	10%

CSI All Share Household & Personal Products Index	All Share Household & Personal Products	931939	2021-12-31	1000	15%
CSI All Share Medical Treatment Index	All Share Medical Treatment	931940	2021-12-31	1000	10%
CSI All Share Other Financials Index	All Share Other Financials	931941	2021-12-31	1000	15%
CSI All Share Telecom Services Index	Telecom Services	932144	2021-12-31	1000	15%
CSI All Share Communications Equipments & Technical Services Index	Communications Equipments & Technical Services	932145	2021-12-31	1000	10%

Industry Index Name	Shortened Name	Index Code	Base Date	Base Value	Maximum Weight
CSI All Share Household Appliances Index	All Share Household Appliances	930697	2004-12-31	1000	15%
CSI All Share Chemical Materials Index	All Share Chemical Materials	930911	2004-12-31	1000	10%
CSI All Share Food Index	All Share Food	H30192	2004-12-31	1000	10%
CSI All Share Textiles& Apparel Index	All Share Textiles & Apparel	H30193	2004-12-31	1000	10%
CSI All Share Construction & Engineering Index	All Share Construction	H30195	2004-12-31	1000	10%
CSI All Share General Retailing Index	All Share General Retailing	H30197	2004-12-31	1000	15%
CSI All Share Electric Utilities Index	All Share Electric Utilities	H30199	2004-12-31	1000	10%
CSI All Share Transportation Infrastructure Index	All Share Transportation	H30200	2004-12-31	1000	15%
CSI All Share IT Services Index	All Share IT Services	H30203	2004-12-31	1000	10%
CSI All Share Leisure Services Index	All Share Leisure Services	H30204	2004-12-31	1000	15%
CSI All Share Paper & Forest Products Index	All Share Forest Products	H30206	2004-12-31	1000	15%
CSI All Share Specialty Retail Index	All Share Specialty Retail	H30208	2004-12-31	1000	15%
CSI All Share Building Products Index	All Share Building Products	H30209	2004-12-31	1000	15%
CSI All Share Energy Equipment & Services Index	All Share Energy Equipment	H30214	2004-12-31	1000	15%
CSI All Share Leisure	All Share Leisure	H30215	2004-12-31	1000	15%

Equipment & Products Index	Products				
CSI All Share Health Care Devices Index	All Share Health Care Devices	H30217	2004-12-31	1000	15%
CSI All Share Water Utilities Index	All Share Water Utilities	H30218	2004-12-31	1000	15%
CSI All Share Containers & Packaging Index	All Share Packaging	H30219	2004-12-31	1000	15%
CSI All Share Cultural Entertainment Index	All Share Cultural Entertainment	H30220	2004-12-31	1000	10%
CSI All Share Multi-Utilities Index	All Share Multi-Utilities	H30221	2004-12-31	1000	--
CSI All Share Transportation Index	All Share Transportation	H30222	2004-12-31	1000	10%
CSI All Share Integrated Circuits Index	All Share Integrated Circuits	932087	2021-12-31	1000	15%
CSI All Share Aviation & Aerospace Index	Aviation & Aerospace	932088	2021-12-31	1000	15%
CSI All Share Energy Saving & Ecological Restoration Index	Energy Saving & Ecological Restoration	932089	2021-12-31	1000	15%
CSI All Share Power Storage Equipments Index	Power Storage Equipments	932090	2021-12-31	1000	15%
CSI All Share Plantation Index	Plantation	932091	2021-12-31	1000	15%
CSI All Share Data Center Index	Dara Center	932092	2021-12-31	1000	15%
CSI All Share General Machinery Index	General Machinery	932093	2021-12-31	1000	15%
CSI All Share Software Development Index	Software Development	932094	2021-12-31	1000	15%
CSI All Share Electronic Components Index	Electronic Components	932588	2021-12-31	1000	10%

Sub-Industry Index Name	Shortened Name	Index Code	Base Date	Base Value	Maximum Weight
CSI All Share Investment Banking & Brokerage Index	All Share Brokerage	399975	2007-06-30	1000	15%
CSI All Share Trading Companies & Distributors Index	All Share Trading Companies	H30201	2004-12-31	1000	15%
CSI All Share Computers & Peripherals Index	All Share Computers	H30207	2004-12-31	1000	15%
CSI All Share Marine Index	All Share Marine	H30216	2004-12-31	1000	15%

CSI All Share Airlines & Air Freight Index	All Share Airlines & Air Freight	H30223	2004-12-31	1000	--
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