

Methodology of CSI All Share Semiconductors & Semiconductor Equipment Index

CSI All Share Semiconductors & Semiconductor Equipment Index selects securities of listed companies in the universe of CSI All Share Index whose business involves in the fields of semiconductor products and equipment as index constituents. The index is to reflect the overall performance of securities of listed companies in the semiconductor products and equipment area.

1. Index Name and Index Code

- Index Name: CSI All Share Semiconductors & Semiconductor Equipment Index
- Shortened Name: All Share Semiconductors
- Index Code: H30184

2. Base Date and Base Index

The index base date is Dec. 31, 2004. The base index is 1000.

3. Index Eligibility

3.1 Index Universe

Same as the Universe of CSI All Share Index.

3.2 Constituents Selection

(1) For the securities in the universe, select securities of listed companies in the semiconductor products and equipment area as candidate securities;

(2) Within the above securities, delete the securities at the bottom 10% by average daily trading value and securities at the bottom 10% by cumulative market cap. The remaining securities enter into the constituents.

4. Index Calculation

The index is calculated as the following calculation formula:

Current Index = Current Total Adjusted Market-Cap / Divisor × Base Level

Where, Current Total Adjusted Market-Cap = $\sum (\text{Security Price} \times \text{Number of Free Float Adjusted Shares} \times \text{Weight Factor})$. For the calculation of number

of free float adjusted shares, please refer to CSI Index Calculation and Maintenance Methodology for further details. The value of Weight Factor is between 0 and 1, which is calculated at each rebalancing date, so as to make the weight of each constituent no more than 15%.

5. Constituents and Index Weights Adjustment

5.1 Constituent's Periodical Review

The index is adjusted and rebalanced semi-annually and the adjustment will be effective as of the next trading day after the 2nd Friday of June and December.

Weight Factor is assigned to each constituent at each rebalancing date. The effective date is the same as that of the constituent adjustment. The Weight Factor stays the same until next rebalancing date.

5.2 Ongoing Review

When special events occur, CSI will review the index accordingly. Delisted securities will be deleted from the constituents. Please refer to Index Calculation and Maintenance Methodology for further details.